

304/14

CERTIFICATE OF MAILING																																				
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231																																				
Typed or Printed Name		Wilhelm Albert Palmen Jr.																																		
Signature		Date	November 27, 2000																																	
TRANSMITTAL FORM (to be used for all correspondence and initial filing) RECEIVED DEC 04 2000 OFFICE OF PETITIONS		Attorney Docket	STAN-064																																	
		First Named Inventor	Akashi et al.																																	
		Application Number	08/918,537																																	
		Filing Date	August 22, 1997																																	
		Group Art Unit	Unassigned																																	
		Examiner Name	Unassigned																																	
		Title: "MAMMALIAN COMMON LYMPHOID PROGENITOR CELL"																																		
ENCLOSURES (check all that apply)																																				
<table border="0"><tr><td><input checked="" type="checkbox"/> Petition to Revive an Application Abandoned Unavoidably under 37 C.F.R. § 1.137(a) (w/ a copy of the Notice of Abandonment)</td><td><input type="checkbox"/> Issue Fee Transmittal (with copy of PTOL-85B)</td><td><input type="checkbox"/> Request for Refund</td></tr><tr><td><input checked="" type="checkbox"/> Fee Transmittal Form</td><td><input type="checkbox"/> Formal Drawing(s)</td><td><input type="checkbox"/> After Allowance Communication to Group</td></tr><tr><td><input type="checkbox"/> Extension of Time Request</td><td><input type="checkbox"/> Licensing-related Papers</td><td><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences</td></tr><tr><td><input type="checkbox"/> Express Abandonment Request</td><td><input type="checkbox"/> Petition Routing Slip and Accompanying Petition</td><td><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)</td></tr><tr><td><input type="checkbox"/> Information Disclosure Statement, 1449 and references</td><td><input type="checkbox"/> To Convert a Provisional Application</td><td><input type="checkbox"/> Proprietary Information</td></tr><tr><td><input type="checkbox"/> Certified Copy of Priority Document(s)</td><td><input checked="" type="checkbox"/> Combined Executed Declaration/Power of Attorney</td><td><input type="checkbox"/> Status Letter</td></tr><tr><td><input type="checkbox"/> Response to Missing Parts/Incomplete Application</td><td><input type="checkbox"/> Change of Correspondence Address</td><td><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):</td></tr><tr><td><input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (with copy of Notice to File Missing Parts)</td><td><input type="checkbox"/> Terminal Disclaimer</td><td>1) Copy of the PTO Date Stamped Return Postcard</td></tr><tr><td></td><td><input type="checkbox"/> Small Entity Statement</td><td>2) Copy of the Status Request as filed on 03/13/98</td></tr><tr><td></td><td></td><td>3) Copy of the Change of the Change of Correspondence Address as filed on 03/29/00</td></tr><tr><td></td><td></td><td>4) Return Postcard</td></tr></table>				<input checked="" type="checkbox"/> Petition to Revive an Application Abandoned Unavoidably under 37 C.F.R. § 1.137(a) (w/ a copy of the Notice of Abandonment)	<input type="checkbox"/> Issue Fee Transmittal (with copy of PTOL-85B)	<input type="checkbox"/> Request for Refund	<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition Routing Slip and Accompanying Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	<input type="checkbox"/> Information Disclosure Statement, 1449 and references	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Proprietary Information	<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> Combined Executed Declaration/Power of Attorney	<input type="checkbox"/> Status Letter	<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):	<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (with copy of Notice to File Missing Parts)	<input type="checkbox"/> Terminal Disclaimer	1) Copy of the PTO Date Stamped Return Postcard		<input type="checkbox"/> Small Entity Statement	2) Copy of the Status Request as filed on 03/13/98			3) Copy of the Change of the Change of Correspondence Address as filed on 03/29/00			4) Return Postcard
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		4) Return Postcard																																		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT																																				
Individual Name	Pamela J. Sherwood	Registration No.	36,677																																	
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP																																			
Signature																																				
Date	November 27, 2000																																			

FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.

NOV 30 2000

Attorney Docket Number	ST 064
First Named Inventor	Akashi et al.
Application Number	08/918,537
Filing Date	August 22, 1997
Group Art Unit	Unassigned
Examiner Name	Unassigned

METHOD OF PAYMENT

- ☐ A Check Is Enclosed
- ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.
Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	710	201	355	Utility filing fee	\$355.00
102	320	206	160	Design filing fee	
104	490	207	245	Plant filing fee	
109	710	208	355	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$355.00

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OFFICE OF PETITIONS

2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims 18	20	0	9.00	\$0.00
Ind. claims 4	3	1	40.00	\$40.00
Multiple Dependent claims				

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent
Subtotal (2)\$40.00				

3. ADDITIONAL FEES

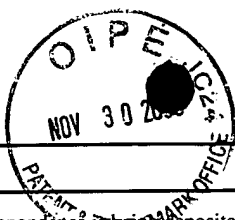
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	65
139	130	139	130	Non-English specification	147
115	110	215	55	Ext. for reply within first month	2,520
117	890	217	445	Ext. for reply within third month	390
128	1,890	228	945	Ext. for reply within fifth month	1,390
120	310	220	155	Filing brief in support of appeal	310
140	110	240	55	Petition to revive - unavoidable	270
142	1,240	242	620	Utility issue fee (or reissue)	221
123	50	123	50	Petitions related to prov. appl.	135
146	710	246	355	Filing submission after final rejection (37 CFR 1.129(a))	141
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	1,240
143	440	243	220	Design issue fee	620
581	40	581	40	Recording patent assignment	130
				Other fee (specify)	180
					710
					355
					1840*
					600
					244
					300

* Reduced by Basic Filing Fee Paid


SUBTOTAL (3)\$125.00

TOTAL AMOUNT TO BE CHARGED (\$515.00)

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Patricia J. Sherwood, BOZICEVIC, FIELD & FRANCIS LLP			Reg. Number	36,677
Signature	Patricia J. Sherwood			Deposit Account	50-0815
	Date	Nov. 27, 2000			



#6

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Wilhelm Albert Palmen Jr.		
Signature		Date	November 27, 2000

RESPONSE TO MISSING PARTS Address to: Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	STAN-064
	First Named Inventor	Akashi et al.
	Application Number	08/918,537
	Filing Date	August 22, 1997
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
	Title:	"MAMMALIAN COMMON LYMPHOID PROGENITOR CELL"

Sir:

In response to the Notice to File Missing Parts dated December 2, 1997, transmitted herewith for filing are the following documents:

1. a copy of the Notice to File Missing Parts;
2. a Combined Declaration and Power of Attorney signed by the inventors.

RECEIVED

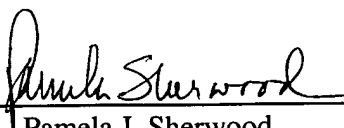
DEC 04 2000

OFFICE OF PETITIONS

The Commissioner is hereby authorized to charge the amount of \$460.00 (surcharge, \$65.00; statutory basic filing fee, \$355.00; additional claim fees, \$40.00) to cover the fees required for the response to the Notice to File Missing Parts and any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, any other paper filed herewith or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: November 27, 2000

By: 
Pamela J. Sherwood
Registration No. 36,677

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231

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DEC 04 2000

OFFICE OF PETITIONS



UNITED STATES DEPARTMENT OF COMMERCE
 Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
08/918,537	08/22/97	AKASHI	K LSJU-64PAT

0232/1202

NOT ASSIGNED

PAMELA J. SHERWOOD
 BOZICEVIC & REED
 5 HAMILTON AVENUE, SUITE 200
 PALO ALTO CA 94301

1802

DATE MAILED:

12/02/97

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 65 for a ☐ large entity ☒ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ large entity ☒ small entity (verified statement filed), is \$ 870.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 395 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 470, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
 See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
 Initial Patent Examination Division (703) 308-1202